

The Basics of Water-Based Barrier Coatings



Lake States TAPPI
Spring Meeting

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Outline

- Define a Barrier Coating
- Describe the types
- What are the applications
- How are they applied
- Testing and evaluation

What Is a Barrier Coating?

- A category of functional coatings
 - Friction control
 - Release properties
 - Abrasion resistance
 - Barrier properties (light, oil, water, O₂...)
 - And others...

Barrier Characteristics

Impart end-use properties to the sheet

- Penetration resistance

- Grease
- Oil
- Liquid water

- Penetration control

- Water vapor (MVTR)
- Oxygen (or other gas)

Product Types

□ Polymers

- Wax (cascade, curtain, WIM)
- PE (extruded)
- FC

□ Water-based

- Acrylic
- Modified PET – EvCote® branded chemicals
- PVAc
- PVDC

A Word on FC's

- Perfluorooctanoic acid (PFOA; C8) enviro and biologic accumulation
- Slow/negligible deterioration
- No harmful effects documented, but
- Thus, alternative barrier chemistries are being evaluated

The Market Is Changing

- ❑ FC's becoming less popular
- ❑ Oil and gas prices up; wax and PE follow
- ❑ A "green" movement: Wal-Mart, Starbucks, Coors, Coca-Cola
- ❑ Alternative technologies now being evaluated

Where Are Barrier Coatings Used ?

Primarily packaging

□ Industrial

- Parts, usually shipped with oil or grease
- Water resistant wraps, electronics

□ Food

- Pizza, fast food, pet food, popcorn
- Frozen foods
- Meats/poultry/vegetables (water, grease & oil)
- Hot and cold drink cup

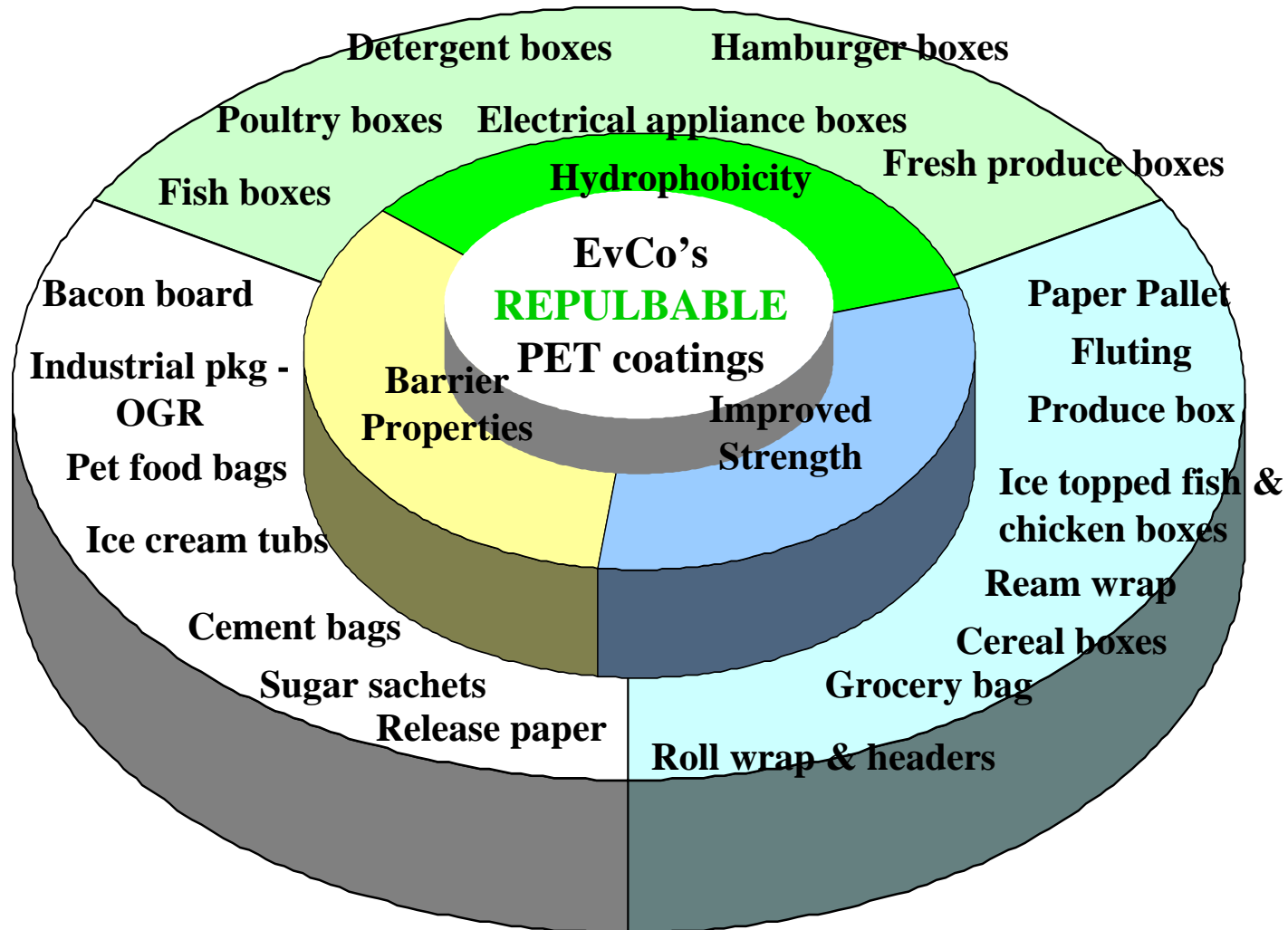
Another Way to Look at It...

Product life cycle

- Long term (>1 day)
 - Shipping, storage, frozen food, pet food, industrial applications
- Short Term (typically < 1 hour)
 - Pizza, fast food, popcorn
 - Hot and cold drink cup

Applications are tailored to need and product cycle

Potential Areas of Application



Application Options

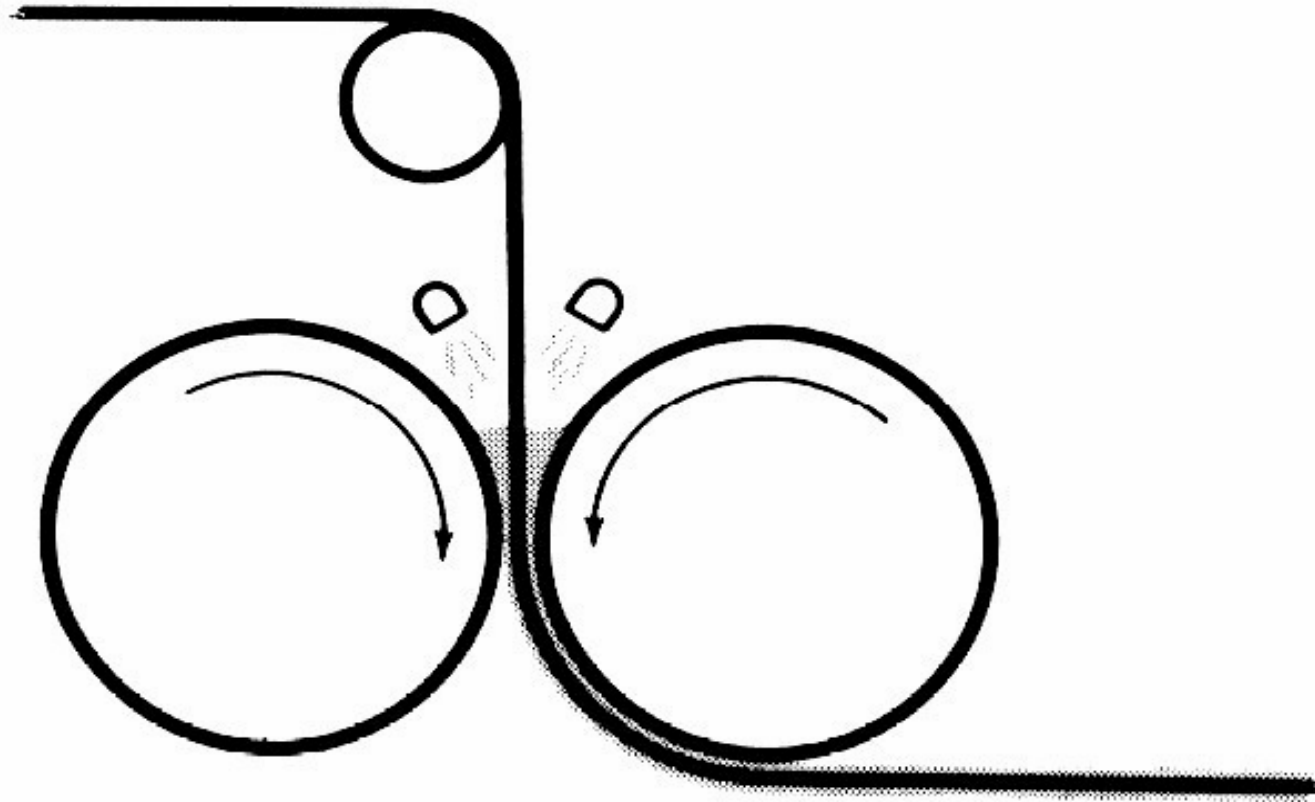
- Application methods
 - Conventional size press
 - Coaters (rod, blade and air-knife coaters, on- and off-line)
 - Gravure and flexo printers
 - Spray bar
 - Wet end
- Applied with or without penetration depending on demand
- Paper/board needs to be dried/cured?

Application

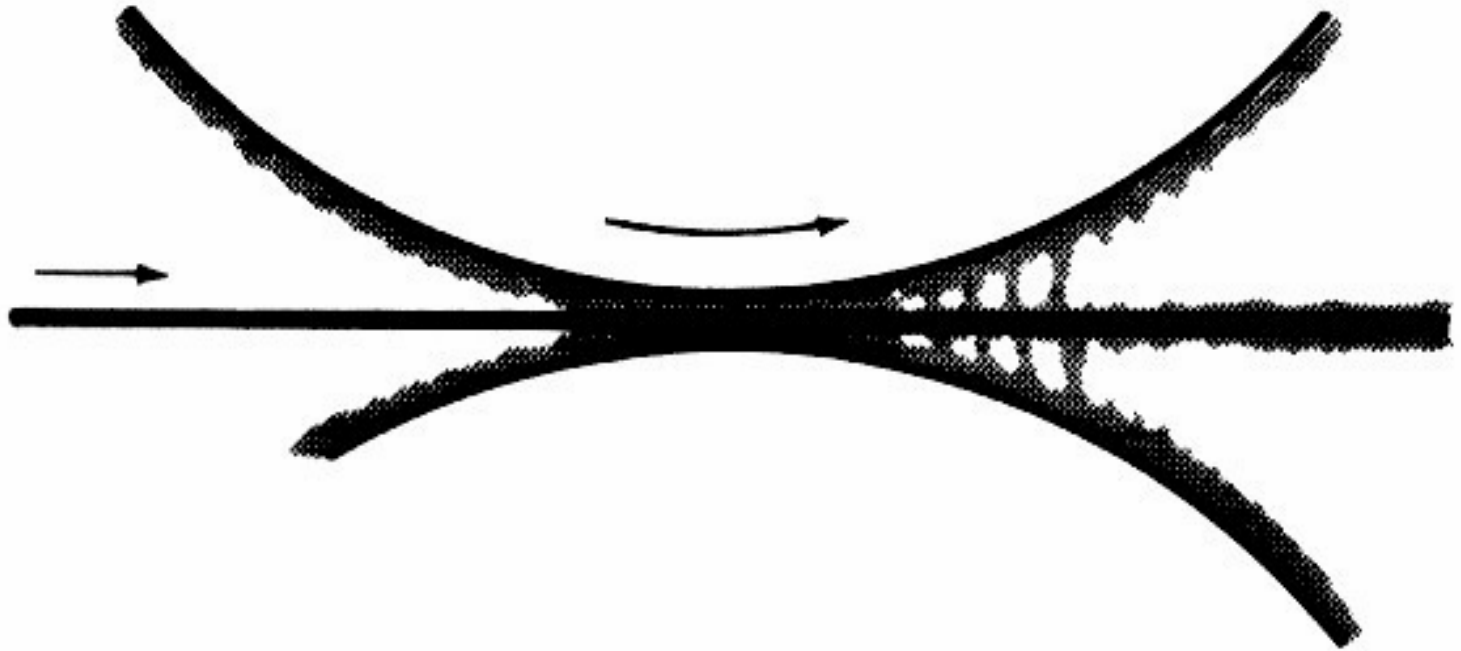
- Wet end of paper machine
 - Retention is a concern
 - Interaction with wet end programs
- Size press
 - Puddle or pre-metered size press
 - Insuring even coverage
 - Pinholes a problem
 - Pickup and holdout

Puddle-type Size Press

Horizontal, 2-side Treatment



Film Split

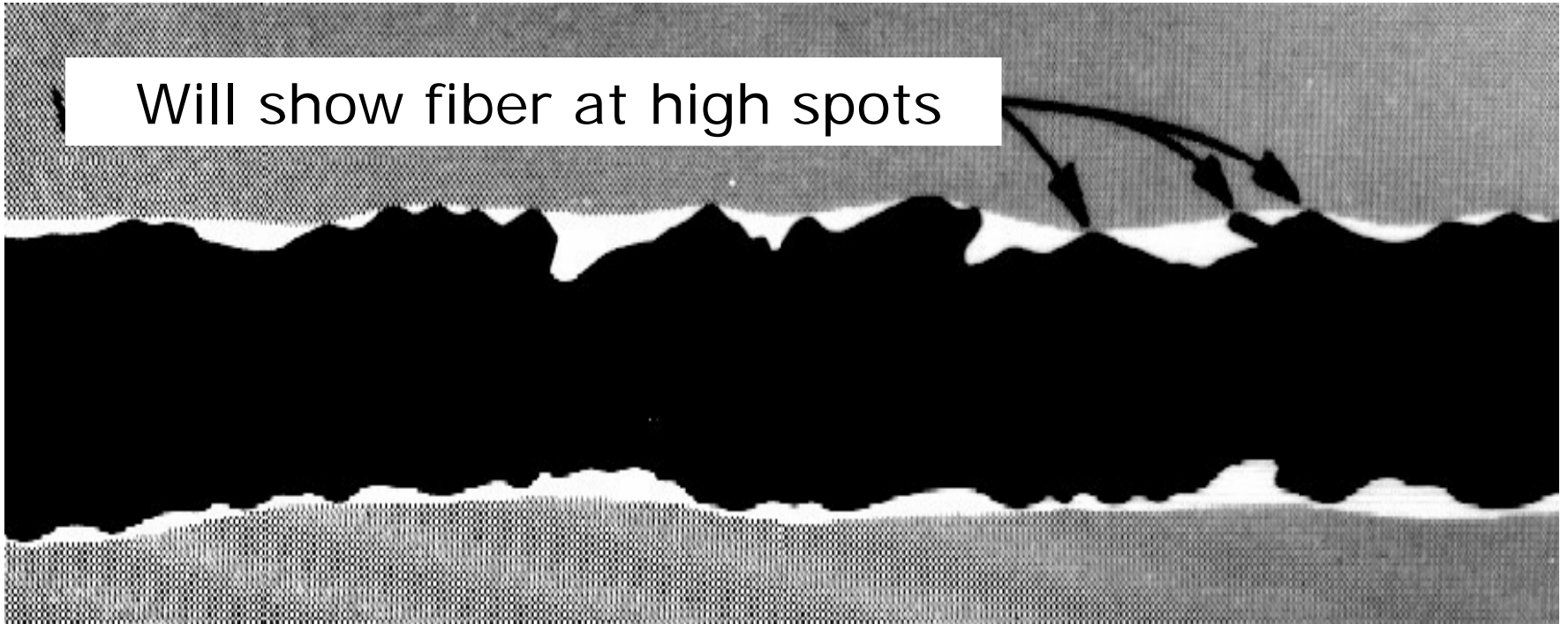


Application

- Blade coater (rigid or bent)
 - Film integrity
- Spray coated
 - Film thickness, spray design
- Rod coater
 - Better than blade
- Air Knife Coater
 - Contour coater
 - Air entrainment an issue (bubbles)

Blade coater

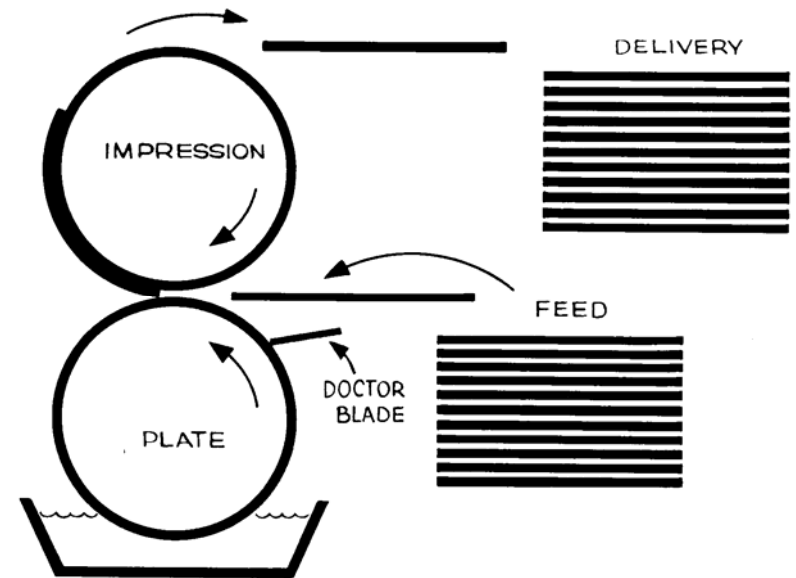
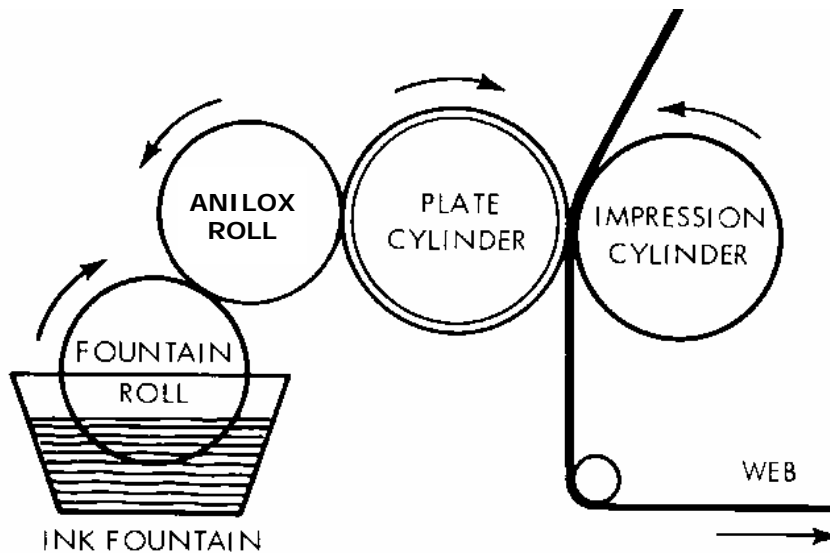
Will show fiber at high spots



Achieving a continuous film is the challenge

Application

Press applied:
Gravure
- screen



Flexo
- solid area

Effect of Base Sheet

- Most typical substrates (board)
 - SBS/SUS
 - Coated recycled board
 - Liner
- Base sheet quality
 - Roughness (adverse – film integrity)
 - Porosity (holdout) denser is better
 - Degree of sizing (holdout)
 - Applying barrier over clay coating is a plus

Multiple coating layers

- Coat weight
 - Single versus multiple layer (bump)
 - Double bump more efficient
 - Cost
- Film integrity
 - Double layer = lower total coat weight
 - Same effect as single pass higher total coat weight

Aqueous Polymers

- ❑ Available as liquids or powders
- ❑ Stand alone or as a coating additive
- ❑ Some require additional preparation
- ❑ Many of the established aqueous coating considerations apply
- ❑ Press-applied materials may require surfactants or other additives

Aqueous Polymers

- Typically a milky (latex like) fluid
- Solids: 28% to 60%
- Application: 1.0 to 6.0 gsm
- Lend themselves to a number of application methods
- Variable low shear, usually high-shear stable
- Poor water retention in pure forms (low solids/viscosity)
- Good water retention (high solids/viscosity)

Formulation

- Size Press application
 - Starch typically the carrier
 - Pickup, holdout/penetration important
 - Quantity depends on final properties
- Coating (blade, rod, air knife)
 - Added to the coating formulation or used “as is”
 - Add towards the end of the batch
 - Quantity based on end use properties
 - Coat weight, holdout, film integrity important

Formulation

- Press application
 - Flexo
 - Rotogravure (film integrity issue)
 - Reverse rotogravure
 - Good control of application
 - Quantity depends on desired properties
 - Press station available?

Testing and Evaluation

- Liquid Water Resistance
 - Cobb Size (10+ minutes)
 - “Boat”, run to water penetration
- Oil and Grease Resistance (OGR)
 - 3M Kit test (range 1-12)
 - Higher the kit value, the greater the resistance
 - Purina, various types
 - Most customers/converters have their own tests

Testing and Evaluation

- Moisture Vapor Transmission Rate
 - MVTR, also WVTR
 - $(\text{g}/100\text{in}^2)/\text{day}$ or gsm/day
 - Standard Conditions
 - High temperature and humidity

Testing and Evaluation

More Areas of Interest

□ Scuff Resistance

- Hot plate on a corrugator
- Simulated with a hot iron drawn over the sheet
- Heat can soften the coating

□ Pinholes

- Isopropanol puddle (visual)
- May also dye the alcohol for visibility
- Peanut, olive or vegetable oils
- WD-40

Testing and Evaluation

More Areas of Interest

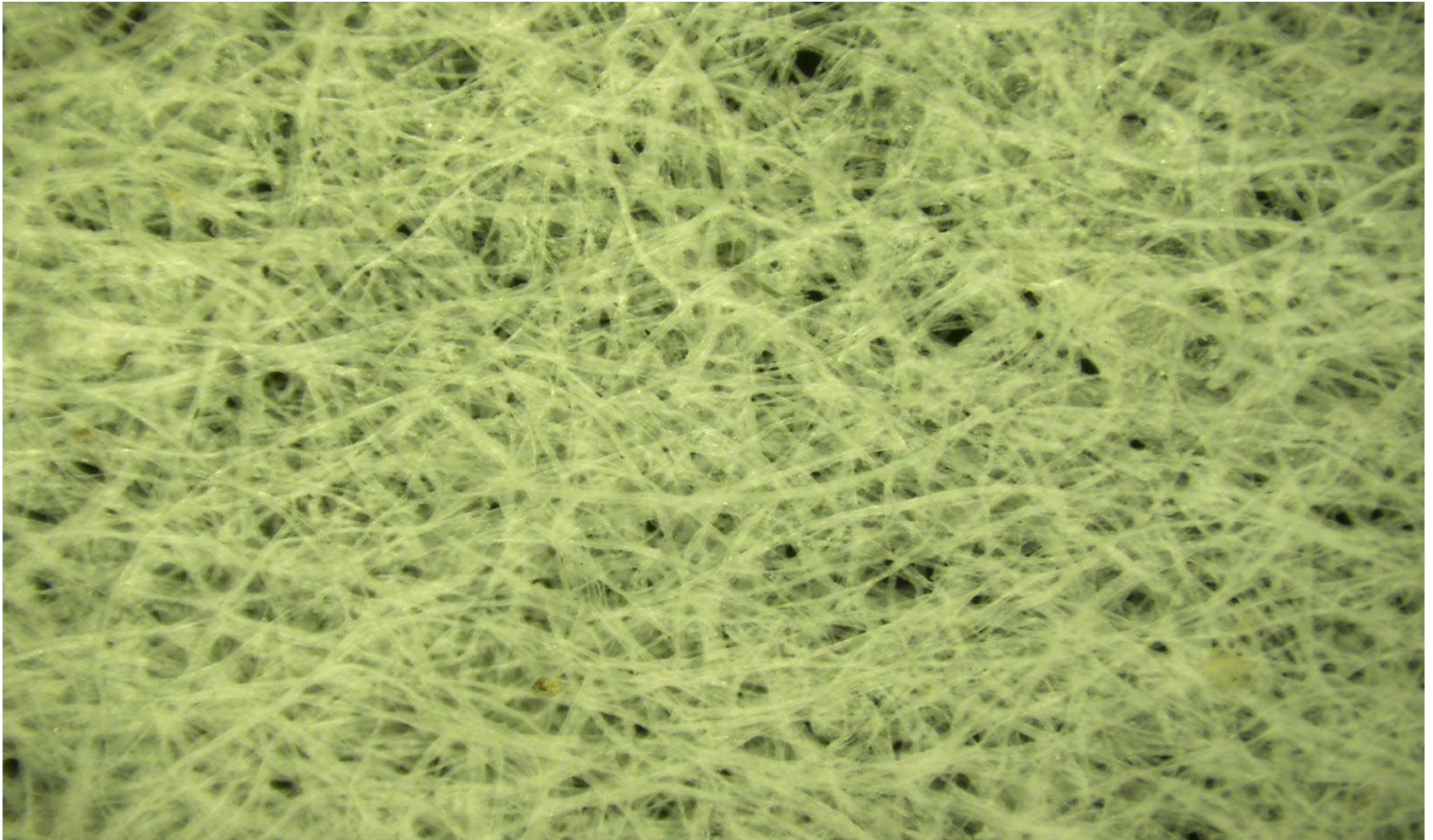
□ Glueability

- Hot melt measured as % fiber pull; cold set as time to fiber tear
- Hot melt glue
- Cold (acetate) glue
- Coated side to coated side
- Coated side to back side

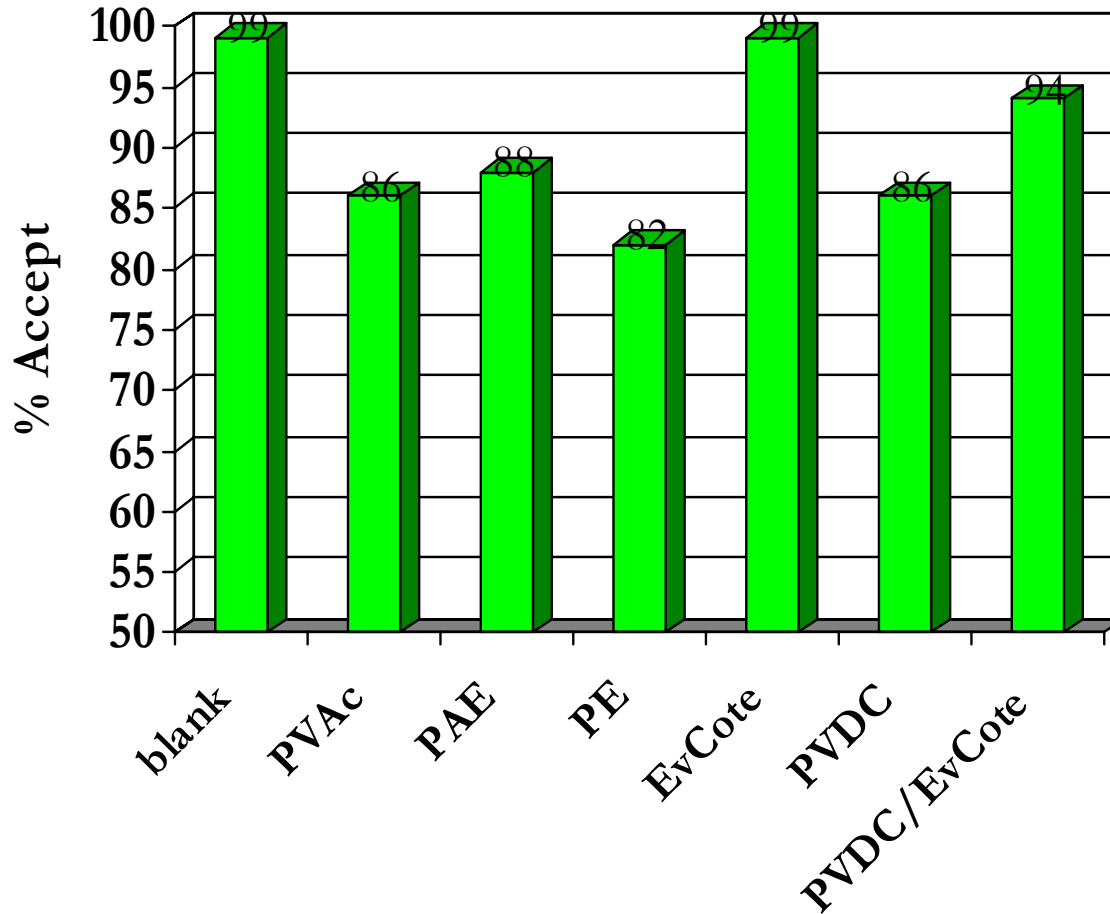
□ Recyclability/repulpability

- Protocols under development
- Removal of visual residue

Repulped Sheet: PET-based Coating



Recyclability of Functional Barrier Coatings



Concerns and Considerations

- Barrier integrity at folds
 - Film flexibility
- Edge wicking resistance
- Foaming
 - Air entrainment/pinholes
- Control of holdout
- Blocking
- Cost

A Balancing Act

There are always exceptions, but ...

- ❑ Greater heat sealability = more blocking
- ❑ Higher coating water retention = poorer water barrier effectiveness
- ❑ Higher water barrier = poorer cold glueability
- ❑ Higher oil resistance = poorer hot melt adhesion
- ❑ Higher effectiveness = higher cost

Summary

- ❑ Barrier properties open new markets to existing grades
- ❑ Main drivers: environmental and economic
- ❑ FC concerns: development of alternative chemistries
- ❑ Products now on the market show a high degree of application flexibility
- ❑ Wax and PE cost increases: oil related

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